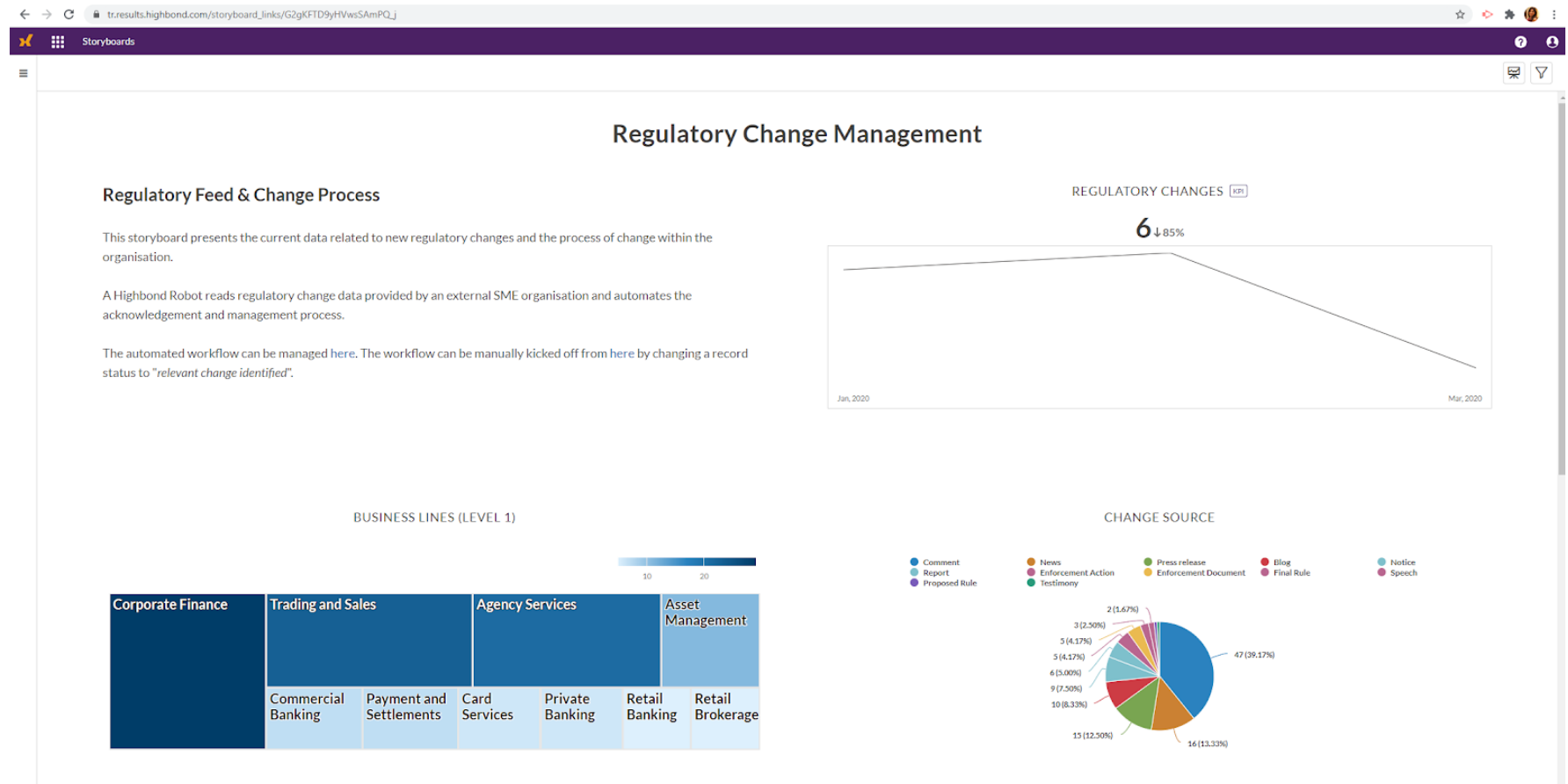


Galvanize Demo Dashboard


https://tr.results.highbond.com/storyboard_links/G2gKFTD9yHVwsSAmPQ_j

Credentials 1 password

HighBond - Dashboard



High Bond - DashBoard with Compliance.ai Change Feed

	<i>As</i> Status	 publication_date	<i>As</i> category	<i>As</i> Title	<i>As</i> Summary Text	<i>As</i> pdf_url	<i>As</i> A new potential change h...	<i>As</i> Describe any suggested a...	<i>As</i> A new regulatory change ...	<i>As</i> Why is no action rec...
1	Under Review	Mar 10, 2020	Blog	Are you in the military and ge...	Tax season can be an anxious ...			New tax direction		
2	Under Review	Mar 9, 2020	Enforcement Action	Fifth Third Bank, National As...	On March 9, 2020, the Consu...			Banking relevant		
3	Relevant Change Identified	Mar 9, 2020	Enforcement Document	Fifth Third Bank, National As...	On March 9, 2020, the Consu...	https://www.consumerfinance...		New US banking regulation		
5	Relevant Change Identified	Mar 6, 2020	Press release	CFPB Takes Key Steps to Pre...	WASHINGTON, D.C. - The Co...			Whistle-blower reg change		
6	Under Review	Mar 3, 2020	Proposed Rule	Debt Collection Practices (Re...	The Bureau of Consumer Fin...	https://www.govinfo.gov/con...				
7	Under Review	Feb 28, 2020	Notice	Privacy Act of 1974; System ...	In accordance with the Privac...	https://www.govinfo.gov/con...				
8	Under Review	Feb 28, 2020	Report	GAO-IG Act Reporting	Category: To Congress GAO-I...					
9	Under Review	Feb 28, 2020	News	GAO-IG Act Reporting	On January 3, 2019, the Goo...					
13	Relevant Change Identified	Feb 25, 2020	Notice	Academic Research Council ...	Under the Federal Advisory C...	https://www.govinfo.gov/con...		new		

Regulatory Change Management Process

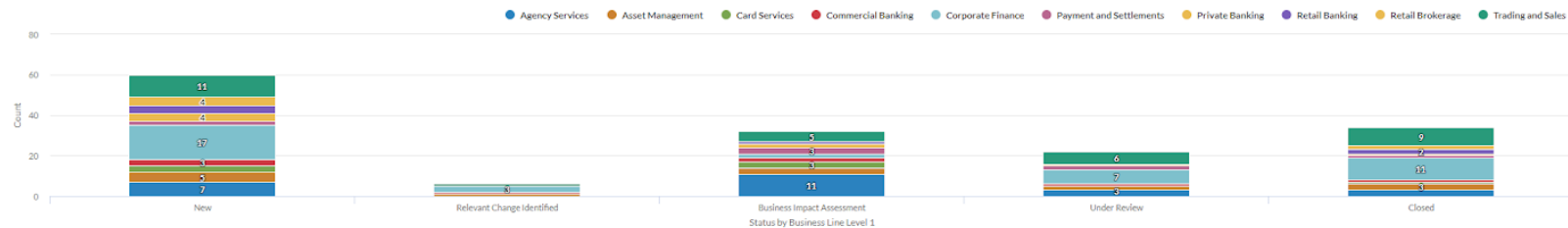
The process for managing the change is managed within Highbond. The process is automated:

1. When a new change is highlighted by the provider, the compliance team decide if the business needs to be notified
2. The business line is asked to review the change information provided and confirm if a change needs to be made and if so, by which date
3. If the business line believes a change requires change management project support the compliance team start a change management project (Projects)
4. If the business line confirm that a change will be made, a follow up is scheduled on the proposed implementation date

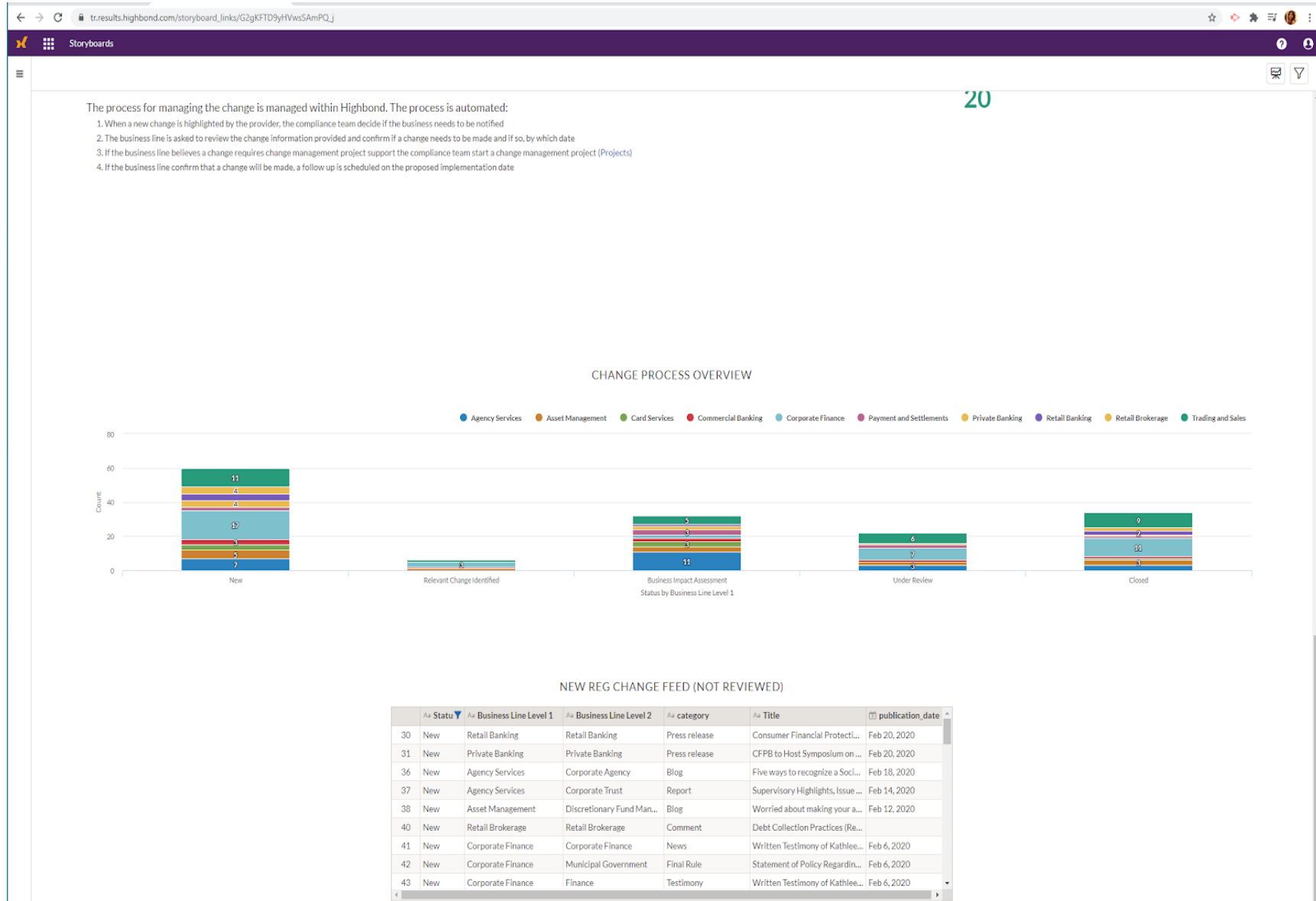
CURRENT REG CHANGES WAITING FOR BUSINESS IMPACT ASSESSMENT KPI

20

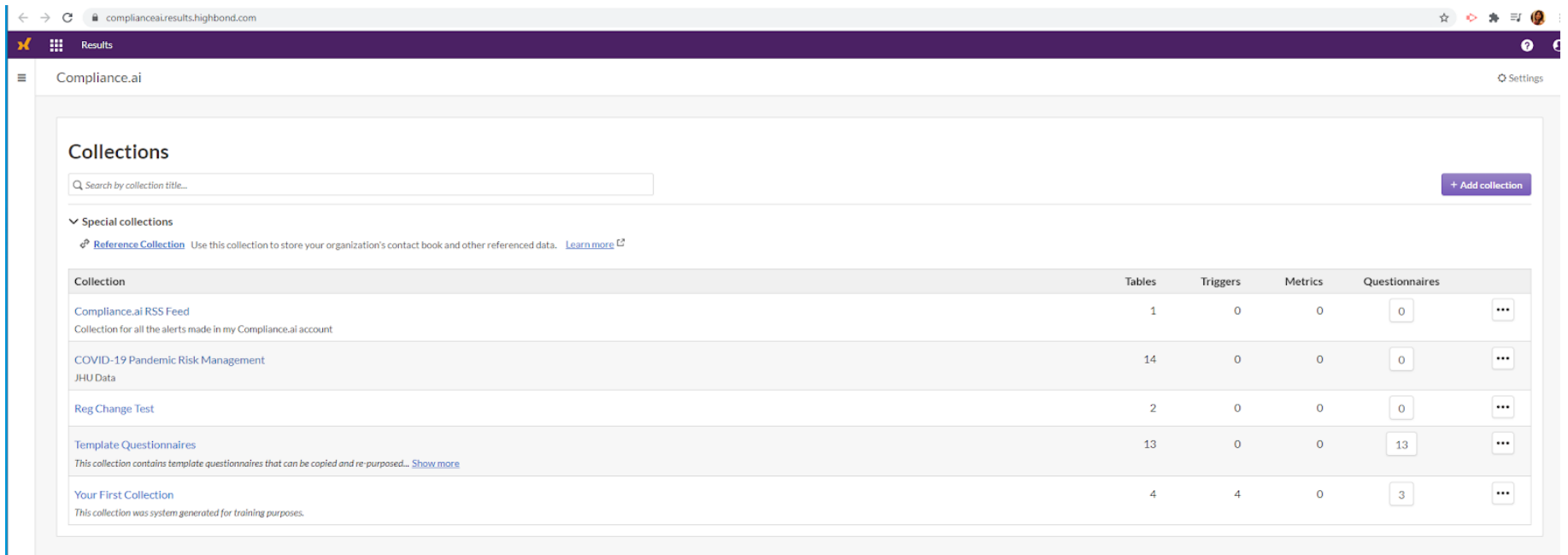
CHANGE PROCESS OVERVIEW



High Bond - DashBoard with Compliance.ai Change Feed

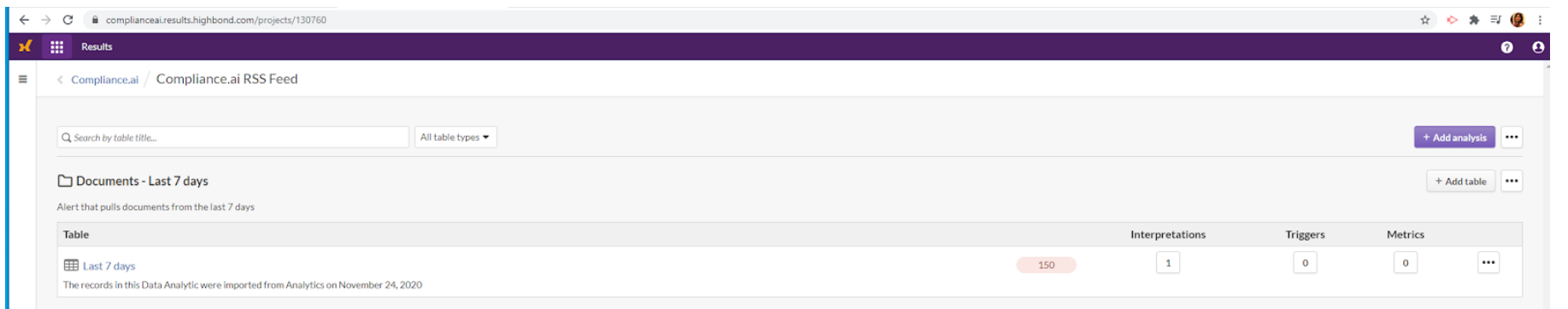


Collection: Setup - Compliance.ai RSS feed



The screenshot shows the 'Collections' page in the Compliance.ai interface. The page has a purple header with the 'Results' tab selected. A sidebar on the left contains a menu icon and the text 'Compliance.ai'. The main content area is titled 'Collections' and includes a search bar with the placeholder 'Search by collection title...'. A '+ Add collection' button is in the top right. Below the search bar, there is a section for 'Special collections' with a link to 'Reference Collection'. The main part of the page is a table listing various collections.

Collection	Tables	Triggers	Metrics	Questionnaires	
Compliance.ai RSS Feed Collection for all the alerts made in my Compliance.ai account	1	0	0	0	...
COVID-19 Pandemic Risk Management JHU Data	14	0	0	0	...
Reg Change Test	2	0	0	0	...
Template Questionnaires <i>This collection contains template questionnaires that can be copied and re-purposed... Show more</i>	13	0	0	13	...
Your First Collection <i>This collection was system generated for training purposes.</i>	4	4	0	3	...



The screenshot shows the 'Documents' page in the Compliance.ai interface. The page has a purple header with the 'Results' tab selected. A sidebar on the left contains a menu icon and the text 'Compliance.ai / Compliance.ai RSS Feed'. The main content area has a search bar with the placeholder 'Search by table title...' and a 'All table types' dropdown. A '+ Add analysis' button is in the top right. Below the search bar, there is a section for 'Documents - Last 7 days' with a description 'Alert that pulls documents from the last 7 days'. The main part of the page is a table listing documents.

Table	Interpretations	Triggers	Metrics	
Last 7 days <i>The records in this Data Analytic were imported from Analytics on November 24, 2020</i>	1	0	0	...

