

# Templar Shield: Integration screenshots between ServiceNow and Compliance.ai - Authority Documents

This screenshot shows the 'Authority document' form in ServiceNow for 'Title 12 - Banks and Banking'. The form includes fields for Name, Number (AD0020044), Source (ComplianceAI), Common name, Category, Type (Regulation or Statute), Active status, Source ID (7561870), Version, Compliance score (%), Valid from, Valid to, UH (https://ecfr.federalregister.gov/current/title-12), and Description (2022-04-01 Title 12 - Banks and Banking). Below the form is a 'Citations (18)' section with a table of references.

Reference	Name	Description	Compliance score (%)
12_CFR_Ch_I	Chapter I - Comptroller of the Currency,	2022-04-01 Chapter I - Comptroller of th...	0
12_CFR_Ch_II	Chapter II - Federal Reserve System	2022-04-01 Chapter II - Federal Reserve ...	0
12_CFR_Ch_III	Chapter III - Federal Deposit Insurance	2022-04-01 Chapter III - Federal Deposit...	0

This screenshot shows the 'Authority documents' list view in ServiceNow. The table displays a list of authority documents with columns for Source, Number, Common name, Name, Type, Compliance score (%), Created, Created by, Updated, Updated by, Active, and Additional information.

Source	Number	Common name	Name	Type	Compliance score (%)	Created	Created by	Updated	Updated by	Active	Additional information
ComplianceAI	AD0020032	Division 1, Office of Administrative Law	Division 1, Office of Administrative Law	Regulation or Statute	0	2022-03-24 07:53:36	jdill	2022-03-24 07:53:36	jdill	true	
ComplianceAI	AD0020040	Title 10 - Energy	Title 10 - Energy	Regulation or Statute	0	2022-05-11 07:48:26	sandeep	2022-05-11 07:48:26	sandeep	true	
ComplianceAI	AD0020045	Title 21 - Food and Drugs	Title 21 - Food and Drugs	Regulation or Statute	0	2022-05-27 00:52:37	sandeep	2022-05-27 00:52:37	sandeep	true	
ComplianceAI	AD0020028	Title 19 - Customs Duties	Title 19 - Customs Duties	Regulation or Statute	0	2022-03-22 07:39:37	sandeep	2022-03-22 07:39:37	sandeep	true	
ComplianceAI	AD0020044	Title 12 - Banks and Banking	Title 12 - Banks and Banking	Regulation or Statute	0	2022-05-13 01:14:03	sandeep	2022-05-13 01:14:03	sandeep	true	
ComplianceAI	AD0020042	TITLE XLIV CIVIL RIGHTS	TITLE XLIV CIVIL RIGHTS	Regulation or Statute	0	2022-05-11 08:07:48	jdill	2022-05-11 08:07:48	jdill	true	
ComplianceAI	AD0020047	Title 34 - Education	Title 34 - Education	Regulation or Statute	0	2022-05-30 08:50:06	sandeep	2022-05-30 08:50:06	sandeep	true	
ComplianceAI	AD0020043	Title 49 - Transportation	Title 49 - Transportation	Regulation or Statute	0	2022-05-11 09:07:00	admin	2022-05-11 09:07:00	admin	true	
ComplianceAI	AD0020030	Chapter VIII - Federal Financing Bank	Chapter VIII - Federal Financing Bank	Regulation or Statute	0	2022-03-23 12:04:40	jdill	2022-03-23 12:04:40	jdill	true	

**COMPLIANCE AI** Filter Search Basic Advanced Login Create an Account

Resource Navigation Jurisdiction Analyzer Expert Connection

US

Federal State

Public Law US Code CFR Other

> Title 12 - Banks and Banking > Chapter I - Comptroller of the Currency, Department of the Treasury

- Title 1 - General Provisions
- Title 2 - Grants and Agreements
- Title 3 - The President
- Title 4 - Accounts
- Title 5 - Administrative Personnel
- Title 6 - Domestic Security
- Title 7 - Agriculture
- Title 8 - Aliens and Nationality
- Title 9 - Animals and Animal Pr...
- Title 10 - Energy
- Title 11 - Federal Elections
- Title 12 - Banks and Banking
- Title 13 - Business Credit and As...
- Title 14 - Aeronautics and Space
- Title 15 - Commerce and Foreign...
- Title 16 - Commercial Practices
- Title 17 - Commodity and Secur...
- Title 18 - Conservation of Powe...

Chapter I - Comptroller of the Cur... Part 1 - Investment Securities

Chapter II - Federal Reserve System Part 2 - Sales of Credit Life Insurance

Chapter III - Federal Deposit Insur... Part 3 - Capital Adequacy Standards

Chapter IV - Export-Import Bank of... Part 4 - Organization and Functions, Availability and Release of Information, Contracting Outreach Program, Post-Emplo...

Chapter V - Farm Credit Administr... Part 5 - Rules, Policies, and Procedures for Corporate Activities

Chapter VII - National Credit Union... Part 6 - Prompt Corrective Action

Chapter VIII - Federal Financing Bank Part 7 - Activities and Operations

Chapter X - Bureau of Consumer FL... Part 8 - Assessment of Fees

Chapter XI - Federal Financial Inst... Part 9 - Fiduciary Activities of National Banks

Chapter XII - Federal Housing Fina... Part 10 - Municipal Securities Dealers

Chapter XIII - Financial Stability O... Part 11 - Securities Exchange Act Disclosure Rules

Chapter XIV - Farm Credit System I... Part 12 - Recordkeeping and Confirmation Requirements for Securities Transactions

Chapter XV - Department of the Tr... Part 13 - Government Securities Sales Practices

Chapter XVI - Office of Financial Re... Part 14 - Consumer Protection in Sales of Insurance

Chapter XVII - Office of Federal Ho... Part 15 [Reserved]

Chapter XVIII - Community Develop... Part 16 - Securities Offering Disclosure Rules

Part 19 - Rules of Practice and Procedure

Part 21 - Minimum Security Devices and Procedures, Reports of Suspicious Activities, and Bank Secrecy Act Compliance ...

Chapter I - Comptroller of the Currency, Department of the Treasury

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Summary

2022-05-09 Chapter I - Comptroller of the Currency, Department of the Treasury

Citation 12 CFR Ch. I

Document ID 7561877

Location Code of Federal Regulations > Title 12 - Banks and Banking > Chapter I - Comptroller of the Currency, Department of the Treasury

Publication Date Monday, May 9th, 2022

Similar Documents (372146)

Contact Us Accessibility

**servicenow** Service Management Default [GR] GRC: Policy Jassen Dill

Filter navigator

Citation Part 43 - Credit Risk Retention Update Assess Risk Delete

Category -- None --

WebURL <https://ecfr.federalregister.gov/current/title-12/chapter-I/part-43>

Update Assess Risk Delete

Control objectives Citations (4) Content references Information objects Evidence Risk Events Taxonomy

Citations New Search Reference Search 1 to 4 of 4

Reference	Name	Authority document	Description	Compliance score (%)
12 CFR 43 Subpart A	Subpart A - Authority, Purpose, Scope an	(empty)	Subpart A - Authority, Purpose, Scope an...	0
12 CFR 43 Subpart B	Subpart B - Credit Risk Retention	(empty)	Subpart B - Credit Risk Retention § 43.3...	0
12 CFR 43 Subpart C	Subpart C - Transfer of Risk Retention	(empty)	Subpart C - Transfer of Risk Retention §...	0
12 CFR 43 Subpart D	Subpart D - Exceptions and Exemptions	(empty)	Subpart D - Exceptions and Exemptions §...	0

Actions on selected rows...

servicenow Service Management

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Filter navigator

Citation 12 CFR § 43.1 Authority, purpose, scope

Description: § 43.1 Authority, purpose, scope, and reservation of authority. (a) Authority. This part is issued under the authority of 12 U.S.C. 1 et seq., 93a, 161, 1464, 1818, 5412(b)(2)(B), and 15 U.S.C. 78o-11. (b) Purpose. (1) This part requires securitizers to retain an economic interest in a portion of the credit risk for any asset that the securitizer, through the issuance of an asset-backed security, transfers, sells, or conveys to a third party.

Supplemental guidance

Category: -- None --

WebURL: <https://ecfr.federalregister.gov/current/title-12/chapter-I/part-43/subpart-A/section-43.1>

Control objectives (1)

Control objective	Category	Type	Classification	Compliance score (%)
Retain an economic interest in the asset	Operational management	Acquisition/Sale of Assets or Services	Preventive	79

servicenow Service Management

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Control objective: Retain an economic interest in the asset

Name: Retain an economic interest in the asset

Source: [Empty]

Source ID: [Empty]

Reference: [Empty]

Parent: [Empty]

Compliance score (%): 79

Implementation Tier Score: -- None --

Organizational guidance: Not common

Description: [Empty]

Supplemental guidance: This is the supplemental guidance from the Control Objective

FFIEC Categorization

Impact: [Empty]

Update Delete

Related Links

Edit Attestation

Entity types (1) Additional entities Policies Citations (1) Control objectives Controls (1) Risk statements Policy exceptions Issues (1) Evidence Test templates Indicator templates PA Indicators Assessment metrics

Templar Shield: User selects regulation list, scheduled job brings selected data.

The screenshot shows the 'servicenow Service Management' interface. The main content area is titled 'Authority document' for 'Title 12 - Banks and Banking'. The form includes the following fields:

- Name: Title 12 - Banks and Banking
- Number: AD0020044
- Source: ComplianceAI
- Common name: Title 12 - Banks and Banking
- Category: (empty)
- Type: Regulation or Statute
- Url: <https://ecfr.federalregister.gov/current/title-12>
- Description: 2022-04-01 Title 12 - Banks and Banking

A dropdown menu is open, showing a list of items. The item 'Templar Shield FFIEC Accelerator Pack' is highlighted. Other items in the list include 'GRC: Regulatory Change Management integration with RSS Feeds', 'GRC: Risk Management', 'GRC: Risk Management Workspace', 'GRC: Risk Shared Common Components', 'GRC: SIG Questionnaire', 'GRC: SIG Questionnaire Integration', 'GRC: SOX Content Pack', 'GRC: Taxonomy management', 'GRC: Technology Controls Monitoring Accelerator', 'GRC: Vendor Portal', 'GRC: Vendor Risk Management', 'GRC: Vendor Risk Management Workspace', 'GRC: Virtual Agent', 'GRC: Workbench', 'Guided Setup - Legacy', 'Guided Setup Configuration', 'IBM Watson Translator Service Spoke', 'Incident ATF Test', and 'Infoblox DDM'.

Below the form, there are 'Update' and 'Delete' buttons. A 'Citations (18)' section is visible, with a search bar and a table of citations:

Reference	Name	Description	Compliance score (%)
12 CFR Ch. I	Chapter I - Comptroller of the Currency,	2022-04-01 Chapter I - Comptroller of th...	
12 CFR Ch. II	Chapter II - Federal Reserve System	2022-04-01 Chapter II - Federal Reserve ...	
12 CFR Ch. III	Chapter III - Federal Deposit Insurance	2022-04-01 Chapter III - Federal Deposit...	

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